

#51270

APPLICANT OR PATENTEE: Rapport Composites U.S.A, Inc.

SERIAL NO.:

FILING DATE:

**TITLE: GOLF CLUB SHAFT WITH CONTROLLABLE FEEL AND BALANCE
USING COMBINATION OF FIBER-REINFORCED PLASTICS AND
METAL-COATED FIBER-REINFORCED PLASTICS**

ATTORNEY'S DOCKET NO. 1248-29

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business entity empowered to act on behalf of the concern
identified below:

NAME OF CONCERN: Rapport Composites, U.S.A., Inc.

ADDRESS OF CONCERN: 7740 Records Street

Indianapolis, Indiana 46226

I hereby declare that above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d) for the purpose of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **GOLF CLUB SHAFT WITH CONTROLLABLE FEEL AND BALANCE USING COMBINATION OF FIBER-REINFORCED PLASTICS AND METAL-COATED FIBER-REINFORCED PLASATICS** by inventor(s) Michael W. Perryman and Patrick C.T. Hsu described in:

- ☒ The specification filed herewith.
☐ Application Serial No. _____, filed _____
☐ Patent No. _____, issued _____

THE UNIVERSITY OF CHICAGO

FULL NAME: _____
 ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT
 ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Michael W. Perryman

TITLE OF PERSON OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: 7740 Records Street, Indianapolis, Indiana 46226

Date _____

* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

**DECLARATION FOR UTILITY OR
DESIGN PATENT APPLICATION**
(37 CFR 1.63)☒Declaration
Submitted
With Initial
Filing☐Declaration
Submitted after
Initial Filing
(surcharge 37 CFR
1.16 (e) required)

OR

Attorney Docket Number 1248-29

First Named Inventor PERRYMAN, Michael W.

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**GOLF CLUB SHAFT WITH CONTROLLABLE FEEL AND BALANCE USING COMBINATION
OF FIBER-REINFORCED PLASTICS AND METAL-COATED FIBER-REINFORCED
PLASTICS**

(Title of the Invention)

the specification of which

☒

is attached hereto

OR

☐

was filed on (MM/DD/YYYY)

as United States

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim, as amended by any amendment specifically referred to above.

I acknowledge and hereby disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Check Only If Priority Not Claimed		Certified Copy Attached?	
					YES	NO
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>

☐

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)
60/090,743
60/118,886Filing Date (MM/DD/YYYY)
6/24/98
2/5/99☐Additional provisional application
numbers are listed on a supplemental priority data
sheet PTO/SB/02B attached hereto.

DECLARATION – Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

<input type="checkbox"/>	Customer Number	→ <div style="border: 1px solid black; padding: 5px; text-align: center;">Place Customer Number Bar Code Label Here</div>
<input checked="" type="checkbox"/>	Registered practitioner(s) name/registration number listed below.	

Name	Registration Number	Name	Registration Number
Charles J. Meyer	41,996		


☒ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:	<input type="checkbox"/> Customer Number Bar Code Label	OR	<input checked="" type="checkbox"/> Correspondence address below
-------------------------------	---	----	--

Name	WOODARD EMHARDT NAUGHTON MORIARTY & McNETT						
Address	111 Monument Circle, Suite 3700						
Address							
City	Indianapolis	State	IN	ZIP	46204		
Country	US	Telephone	317/ 634-3456		Fax	317/637-7525	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:	<input type="checkbox"/>	A petition has been filed for this unsigned inventor.
---------------------------------	--------------------------	---

Given Name (first and middle (if any))				Family Name or Surname			
Michael W.				Perryman			
Inventor's Signature						Date	6/15/97
Residence: City	Carmel	State	IN	Country	U.S.A.	Citizenship	U.S.
Post Office Address	3196 Driftwood Court						
Post Office Address							
City	Carmel	State	IN	ZIP	46033	Country	U.S.A.

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

000453 "142701

Please type a plus sign (+) inside this box →

PTO/SB/02A (3-87)
Approved for use through 9/30/98. OMB 0551-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name (first and middle [if any])						Family Name or Surname									
Patrick C.T.						Hsu									
Inventor's Signature										Date					
Residence: City		Houli		State				Country		Taiwan		Citizenship		Taiwanese	
Post Office Address		#41-C Sanfong Road													
Post Office Address															
City		Houli		State				ZIP		42109		Country		Taiwan R.O.C.	
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name (first and middle [if any])						Family Name or Surname									
Inventor's Signature										Date					
Residence: City				State				Country				Citizenship			
Post Office Address															
Post Office Address															
City				State				ZIP				Country			
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name (first and middle [if any])						Family Name or Surname									
Inventor's Signature										Date					
Residence: City				State				Country				Citizenship			
Post Office Address															
Post Office Address															
City				State				ZIP				Country			
Name of Additional Joint Inventor, if any:						<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name (first and middle [if any])						Family Name or Surname									
Inventor's Signature										Date					
Residence: City				State				Country				Citizenship			
Post Office Address															
Post Office Address															
City				State				ZIP				Country			

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

SECRET

Please type a plus sign (+) inside this box → ☐

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Approved for use through 9/30/98. PTO/SB/02C (3-97)
OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

REGISTERED PRACTITIONER INFORMATION (Supplemental Sheet)

Name	Registration Number	Name	Registration Number
Harold R. Woodard	16,214		
C. David Emhardt	18,483		
Joseph A. Naughton, Jr.	19,814		
John V. Moriarty	26,207		
John C. McNett	25,533		
Thomas Q. Henry	28,309		
James M. Durlacher	28,840		
Charles R. Reeves	28,750		
Vincent O. Wagner	29,596		
Steve Zlatos	30,123		
Spiro Bereveskos	30,821		
William F. Bahret	31,087		
Clifford W. Browning	32,201		
R. Randall Frisk	32,221		
Daniel J. Lueders	32,581		
Kenneth A. Gandy	33,386		
Timothy N. Thomas	35,714		
Kerry P. Sisselman	37,237		
Kurt N. Jones	37,996		
John H. Allie	39,088		
Holiday W. Banta	40,311		
Troy J. Cole	35,102		
L. Scott Paynter	39,797		
J. Andrew Lowes	40,706		
Charles J. Meyer	41,996		
Darrin Wesley Harris	40,636		
Matthew R. Schantz	40,800		
Gregory B. Coy	40,967		
Lisa A. Hiday	40,036		
John V. Daniluck	40,581		
Christopher A. Brown	41,642		
Jason J. Schwartz	43,910		
Arthur J. Usher IV	41,359		
Douglas A. Collier	43,556		
Scott J. Stevens	29,446		
James B. Myers	42,021		

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Rev. 3/19/99

\\ODMA\PCDOCS\WENMM_DB4228\1

ASSIGNMENT

WHEREAS, Michael W. Perryman, hereinafter referred to as Assignor, has made a new and useful invention in GOLF CLUB SHAFT WITH CONTROLLABLE FEEL AND BALANCE USING COMBINATION OF FIBER-REINFORCED PLASTICS AND METAL-COATED FIBER-REINFORCED PLASTICS for which Assignor have made or will make application for Letters Patent of the United States; and

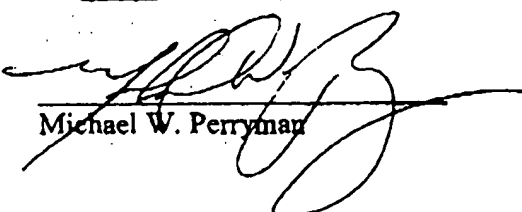
WHEREAS, Rapport Composites U.S.A., Inc., hereinafter referred to as Assignee, a corporation of the State of Indiana having a principal place of business at 7740 Records Street, Indianapolis, Indiana 46226 desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignor in hand paid, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent executed by Assignor this 15TH day of JUNE, 1999, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignor hereby authorizes and requests the firm of Woodard, Emhardt, Naughton, Moriarty & McNett, of Bank One Center Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (Serial No. _____ Filed, June 21, 1999) the date and serial number of said application when officially known, and Assignor hereby authorizes and requests the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable to the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 15TH day of JUNE, 1999.


Michael W. Perryman

ASSIGNMENT

WHEREAS, Patrick C. T. Hsu, hereinafter referred to as Assignor, has made a new and useful invention in GOLF CLUB SHAFT WITH CONTROLLABLE FEEL AND BALANCE USING COMBINATION OF FIBER-REINFORCED PLASTICS AND METAL-COATED FIBER-REINFORCED PLASTICS for which Assignor have made or will make application for Letters Patent of the United States; and

WHEREAS, Rapport Composites U.S.A., Inc., hereinafter referred to as Assignee, a corporation of the State of Indiana having a principal place of business at 7740 Records Street, Indianapolis, Indiana 46226 desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;


NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignor in hand paid, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent executed by Assignor this 21st day of JUNE, 1999, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

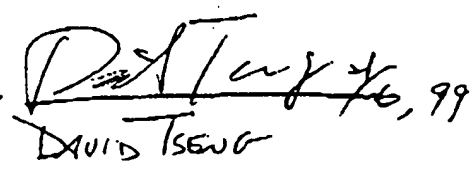
Assignor hereby authorizes and requests the firm of Woodard, Emhardt, Naughton, Moriarty & McNett, of Bank One Center Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (Serial No. _____ Filed, June 21, 1999) the date and serial number of said application when officially known, and Assignor hereby authorizes and requests the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable to the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 21st day of JUNE, 1999.


Patrick C.T. Hsu

WITNESS: 
JASON LIN

WITNESS: 
DAVID TSEUO

COPY

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

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Document ID#

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☐ Corrective Document
Reel # Frame #

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☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Patrick C.T. Hsu

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Rapport Composites U.S.A., Inc.

Name (line 2)

Address (line 1) 7740 Records Street

Address (line 2)

Address (line 3) Indianapolis

Indiana/U.S.A.

46226

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

(317) 634-3456

Name Charles J. Meyer

Address (line 1) Woodard, Emhardt, Naughton, Moriarty & McNett

Address (line 2) 111 Monument Circle, Suite 3700

Address (line 3) Bank One Center/Tower

Address (line 4) Indianapolis, Indiana 46204-5137

Pages Enter the total number of pages of the attached conveyance document including any attachments.

#

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
6 15 99

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

23-3030

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Charles J. Meyer

Name of Person Signing



Signature

June 21, 1999

Date

COPY

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error
Reel # Frame #

☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Michael W. Perryman

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Rapport Composites U.S.A., Inc.

Name (line 2)

Address (line 1) 7740 Records Street

Address (line 2)

Address (line 3) Indianapolis

Indiana/U.S.A.

46226

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

(317) 634-3456

Name Charles J. Meyer

Address (line 1) Woodard, Emhardt, Naughton, Moriarty & McNerr

Address (line 2) 111 Monument Circle, Suite 3700

Address (line 3) Bank One Center/Tower

Address (line 4) Indianapolis, Indiana 46204-5137

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

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Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
6 15 99

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

23-3030

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Charles J. Meyer



June 21, 1999

Name of Person Signing

Signature

Date